

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
14846-43

In Re Application Of: **MILLER et al.**

Serial No.

TBD

Filing Date

FEBRUARY 6, 2004

Examiner

TBD

Group Art Unit

TBD

Invention: **BILLING WORKFLOW SYSTEM FOR CREDITING CHARGES TO ENTITIES CREATING
DERIVATIVES EXPOSURE**

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



George D. Morgan
Signature

Dated: **FEBRUARY 6, 2004**

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Certificate of Mailing by First Class Mail

I certify that this document is being deposited on February 6, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Kelly Billingsley
Signature of Person Mailing Correspondence

KELLY BILLINGSLEY

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cc:

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 20030225663	12/04/03	Horan et al.			
		US 20020138398	09/26/02	Kalin et al.			
		US 6,185,544	02/06/01	Sakamoto et al.			
		US 20030046218	03/06/03	Albanese et al.			
		US 20020107770	08/08/02	Meyer et al.			
		US 20030233305	12/18/03	Solomon			
		US 20030097335	05/22/03	Muskowitz et al.			
		US 20030018557	01/23/03	Gilbert et al.			
		US 20020199182	12/26/02	Whitehead			
		US 20020198817	12/26/02	Dhir			
		US 20020194096	12/19/02	Falcone et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

MEGA, the Multi-Entity General Accounting solution, http://www.intacct.com/mega_overview.html

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 20020069134	06/06/02	Solomon			
		US 20020026394	02/28/02	Savage et al.			
		US 6,629,081	09/30/03	Cornelius et al.			
		US 6,088,686	07/11/00	Walker			
		US 5,784,696	07/21/98	Melnikof			
		US 6,405,173	06/11/02	Honarvar et al.			
		US 6,415,259	07/02/02	Wolfinger et al.			
		US 5,835,899	11/10/98	Rose et al.			
		US 6,125,354	09/26/00	Mac Farlane et al.			
		US 6,418,420	07/09/02	DiGiorgio et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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